

**REMARKS**

By this Preliminary Amendment, Applicant has cancelled claims 1-12, without prejudice or disclaimer, and added new claims 13-34. Applicants respectfully request examination of pending claims 13-34.

Please grant any extensions of time required to enter this paper and charge any additional required fees to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

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By:

  
Michael W. Kim  
Reg. No. 51,880

FINNEGAN  
HENDERSON  
FARABOW  
GARRETT &  
DUNNER LLP

1300 I Street, NW  
Washington, DC 20005  
202.408.4000  
Fax 202.408.4400  
[www.finnegan.com](http://www.finnegan.com)

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original document : C:\DOCUME~1\KIMMW\LOCALS~1\TEMP\DC\_619832\_1  
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CompareRite found 34 change(s) in the text

Deletions appear as Overstrike text or brackets

Additions appear as Underline text



## **REFERENCE TO CROSS-RELATED APPLICATIONS**

This is a continuation of Application No. 09/428,496, filed October 28, 1999, which claims the right to priority based on German Patent Application No. 198 49 597.8, filed October 28, 1998, all of which are incorporated herein by reference.

## **BACKGROUND OF THE INVENTION**

### **Field of the Invention** Nephelometric detection unit with optical in-process control

The present invention relates to the field of the use filed of automated measurement systems [for use] in analysis and in invitro in-vitro diagnosis. In particular, the apparatus described enables automatic quality control and validation of characteristic process engineering parameters, in particular characteristic optical parameters, during the measurement of scattered light signals.

### **Description of the Related Art**

An increasing demand for sensitive optical detection methods which can be used in fully automated analyzers appertaining to laboratory diagnosis has evolved in recent years.

In addition to the requirements made of the measurement method, such as sensitivity, resolution or dynamic range, the high degree of automation means that, in the same way, requirements are also made of the automated testing, setting and, if appropriate, readjustment of the parameters of the measurement method used. Therefore, quality control and validation measures must likewise be ensured by automated methods.

In the different methods of analysis, the testing and securing of valid results are characterized by varying degrees of difficulty. While testing is possible in absorption

spectroscopy, for example by using officially calibrated standards, this is not provided possible for methods of scattered light spectroscopy. In the method of forward light scattering, in particular, which utilizes angles or angular ranges near the incident beam of the light source, simultaneous measurement of characteristic optical parameters within the beam path is difficult on account of the mechanical structure. Therefore, characteristic optical parameters, such as intensity, wavelength, pulse length or noise component of the light source used, and with the use of a vessel (cuvette or the like) which serves to accommodate the material to be measured and is briefly inserted into the beam path, can frequently be determined only with the aid of an additional relative standard. However, the necessity of using nonstandardized test media gives rise to further fault sources which do not allow control over a relatively long period of time in situ and do not allow an unambiguous conclusion to be drawn about the property of the instrumental conditions.

In scattered light apparatuses, high-purity solutions such as toluene, for example, are used in the majority of cases for reference measurements. Measurement of the angle-dependent scattered light characteristic produces a profile and thus a measure of quality for the apparatus used.

~~On the one hand, the~~ The use of such liquids is problematic for reasons of safety and, ~~on the other hand in addition,~~ carrying out the measurements described above is time-intensive and complicated in terms of laboratory technology. For these reasons, these methods cannot be used for application in automated analyzers. ~~On the other hand~~ However, if a corresponding material to be measured which generates scattered light is

not present, no measurement signal can be generated and thus no conclusion can be drawn about the quality of the method under the current operating conditions.

~~If, consequently,~~ Consequently, if a material to be measured which generates scattered light is used, then it will generate a signal which differs from measurement to measurement, depending on its composition, its structure and the procedure for its use. Simultaneous validation of the measurement system is thus precluded. These considerations also apply in a similar manner to methods in which the measurement signals are generated initially within the material to be measured, such as, for example, in the case of fluorescence or chemiluminescence reactions.

In the arrangement used most for scattered light measurement, the scattered light is detected under an angular range around 90° with respect to the direction of the incident beam. Separation of the incident light from the scattered light is particularly easy to achieve as a result. ~~On the other hand~~ Alternatively, choosing a larger solid-angle range and utilizing angles or angular ranges around the forward direction of the incident light make it possible to achieve higher intensities of the scattered light, as a result of which an arrangement can be constructed in a technically simpler and more cost-effective manner. The proportion of scattered light at angles around the forward direction is particularly high precisely for the measurements (which are striven for in accordance with the present description) on organic macromolecules for use in human in-vitro diagnosis. In addition, use is made of the effect of increasing the intensity of the scattered light by the principle of particle enhancement. The dependence of the scatter signal on the particle size is the most favorable for the case in which the scattering particles are of an order of magnitude which corresponds to the order of magnitude of

the wavelength of the incident light. This produces a preferred arrangement which makes it possible to utilize these components for the measurement. Fundamental considerations and calculations concerning the theory of scattered light are contained in the appropriate textbooks. The following may be mentioned here by way of example: H.C. van de Hulst (Light Scattering by Small Particles, Dover Publications, Inc. New York, 1957, 1981) and C.F. Bohren, D.R. Huffman (Absorption and Scattering of Light by Small Particles, J. Wiley & Sons, New York, 1983). Given further knowledge of the properties of the material to be measured which is to be examined, discrimination of the material to be measured into magnitude classes can be achieved by selection of one or more angular ranges.

The apparatuses used in automated laboratory diagnosis are frequently constructed from, these being known per se to a person skilled in the art, movable units (e.g. rack, carousel, rotor or the like) for accommodating a multiplicity of vessels for sample or reagent liquids and the vessels for accommodating and passing through the material to be measured (cuvettes). In the event of using a rotatable unit for the positioning of the material to be measured, the cuvettes, in dependence on their requirements imposed on the measurement recording, are guided cyclically past a stationary position of the measurement unit. When scattered light measurements are carried out, the resultant scattered light is produced by the material to be measured in a cuvette, said material being introduced into the beam path. This means that changes can be produced by different positioning of the material to be measured.

~~Therefore, controlling the position of the cuvette is advantageous for controlling the intensity of the scattered light produced by the material to be measured. This possibility~~

~~is achieved according to the invention by virtue of the independent control of the structure of the measurement unit (beam path) including the control of the type, structure and position of the cuvette without the use of a material to be measured which produces scattered light. The position thereby determined can be used for the synchronization of the measurement signal.~~ **SUMMARY OF THE INVENTION**

~~Consequently, The object of the present invention was based on the object of finding is to find a method which makes it possible to control the properties of a method for measuring forward light scattering without the necessary use of a material to be measured which produces scattered light.~~

It has now been found that this object is achieved by means of an arrangement of the measurement unit in which the directly transmitted light is measured by a suitable detection device and, at the same time, the scattered light that is produced is detected.

For this purpose, a structure has been developed which makes it possible to measure the scattered light produced under angles not including  $0^\circ$  and the light transmitted under angles around  $0^\circ$ .

In particular, one aim of the method described is to carry out the control and validation of the beam path and the components used, such as the light source, the optical components of lenses and diaphragms and the properties brought about by the moving accommodating vessels of the material to be measured (cuvettes). Testing and control are likewise possible for the cuvette, which is situated in the beam path only during a measurement interval.

The arrangement described according to the invention can consequently be used as in-process control in automated analysis.

### **BRIEF DESCRIPTION OF THE DRAWINGS**

The arrangement of the apparatus according to the invention is elucidated with reference to the following figures:

Fig. 1 [[:]] is a side view of a structure used according to the principle of previous analysis methods[[],];

Fig. 2 [[:]] is a side view of a structure with for detection of the transmitted and scattered light according to the present invention;

Figs. 3A-C are plan views of alternative [,,]

Fig. 3: structure of the scattered light diaphragm, diaphragms according to the present invention;

Fig. 4: Figs. 3D-3E are side views of the light diaphragms shown in Figs. 3A-3C;

Fig. 4 is a top view of the position of the detection unit within a cuvette wheel according to the present invention;

Fig. 5 is a[,,]

Fig. 5: diagrammatic representation of the intensity of the scattered M and transmitted (E) signal as a function of the cuvette position (x).

### **DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT**

Figure 1 diagrammatically shows the principle of the previous method: a light beam 3 emerging from a light source 1, 11 passes through a lens system 2 and one or more diaphragms 4 to impinge on the measuring space 5; after passing through a lens system 6, the directly transmitted light from the light source 1 impinges on a diaphragm 7, which acts as a light trap. The light not extinguished by the diaphragm 7 is projected through a lens system 8 onto the detector 9 and measured by means of 10.

Figure 2 shows how the present invention augments the method. If, in accordance with Figure 1, an accommodating vessel 12 with a material 13 to be measured which produces scattered light is positioned at the position 5, the measurement beam 3 penetrating said material to be measured, then a characteristic, angle-dependent scattered light distribution 14 is produced in dependence on the material to be measured. This distribution is detected by the aperture of the lens system 6 and 8 and passed to the detector 9. The light impinging on the region of the diaphragm 15 is detected by a further detector 16 and likewise measured. This component is composed of the component of the directly incident light from the light source and, given the presence of a material to be measured which produces scattered light, of the impinging scattered light fixed under the acceptance angle of the detector.

Controlling the position of the cuvette is advantageous for controlling the intensity of the scattered light produced by the material to be measured. This possibility is achieved according to the present invention by virtue of the independent control of the structure of the measurement unit (beam path) including the control of the type, structure and position of the cuvette without the use of a material to be measured which produces

scattered light. The position thereby determined can be used for the synchronization of the measurement signal.

If the intention is to achieve a specific intensity at [detector] 16 for a cuvette 12, then this intensity can be detected and readjusted by measuring the intensity, without a material to be measured which produces scattered light, by means of the feedback system 17.

This affords the possibility of being able to carry out the scattered light measurements under ~~respectively~~ relatively constant intensity conditions.

Possible Examples of possible configurations of the diaphragm 15 are shown by examples in Figure 3 a-e in Figs. 3A-C. The plan views in Fig. 3 a-e in Figs. 3A-C comprise the diaphragm 15 with an outer holding ring 21, an annular diaphragm 18 and one or more webs 20 for retaining 18.

The inner diaphragm 18 is designed as a perforated screen for allowing the directly transmitted beam component to pass. It may have further mounts for beam deflection and launching of the light into a glass rod or optical waveguide 23 and a detector 24 situated at the end thereof.

~~Figure 3 d-e shows~~ Figs. 3D and 3E show the diaphragm 15 in a side view. The measurement beam 2 is coupled into a light guidance unit 23 with the aid of a beam deflection arrangement [[15]] 25 and a special optical arrangement 26, 27. The detection can be carried out in a manner locally separate from this unit.

Figure 4 diagrammatically shows the incorporation of a detection unit within a rotatable mount (rotor system) 28 for accommodating the cuvettes 29. When the rotor rotates

through the positions 1, 30, cyclic measurement is effected, the interval of this measurement being fixed by the speed parameter of the rotor. In the case of the measurement principle according to Figure 1, a signal can be measured and evaluated only when the cuvette contains a material to be measured which produces scattered light.

Figure 5 represents the fundamental profile of the signals generated by extinction E or scattering S as a function of the cuvette position. In this case, the type, composition and position of the cuvette have a major influence on the level and waveform of the measurement signal. While the scattered light curve 32 can be produced only with a corresponding material to be measured, the curve of the component E produced by extinction can be measured even with cuvettes which are empty or filled with a non-scattering material to be measured, whereby independent determination of the position can be achieved.

The method according to the invention is of fundamental importance and can be used for any scattered light measurement. The scattered light measurement of biological macromolecules for determining concentration in the so-called nephelometric method is of particular importance.

1. A method for carrying out a scattered light measurement, the optical beam guidance being set up such that the intensities of the scattered and transmitted components of the light are measured separately.
2. The method as claimed in claim 1, wherein the scattered and transmitted components of the incident light are separated by a specially shaped diaphragm.
3. The method as claimed in claims 1 and 2 constructed to the effect that the diaphragm has a region for accommodating a detector or for accommodating a beam guidance or deflection arrangement.
4. The method as claimed in claim 1, wherein the scattered and transmitted components of the incident light are separated by a specially constructed mirror inserted into the beam path with the accommodation of a beam guidance or deflection unit.
5. The method as claimed in claim 1, wherein the scattered and transmitted components of the incident light are separated by a specially machined lens inserted into the beam path with simultaneous accommodation of a diaphragm and beam guidance or deflection unit.
6. The method as claimed in claim 1, wherein the detector for measuring the intensity of the transmitted component is equipped with additional wavelength-selective components.

7. The method as claimed in claims 1 to 6, wherein the signals of the scattered and transmitted components are measured both temporally separately and simultaneously.
8. The method as claimed in claims 1 to 5, wherein the intensity of the light source is readjusted by the light directly transmitted from the light source.
9. The method as claimed in claims 1 to 5, wherein setting, testing and, if appropriate, correction of the position of a vessel for accommodating material to be measured, which vessel is moved through the measuring beam, are effected in such a way that, by means of step-by-step scanning of a vessel during its movement through the measuring beam, the transmitted signal is recorded as a function of the position of said vessel and is used to define the position of the accommodating vessel relative to the measuring beam.
10. The method as claimed in claims 1 to 9, wherein the method can be used as in-process control for the purpose of validation in automatic diagnostic analyzers.
11. The method as claimed in claims 1 to 9 for use in analysis.
12. The method as claimed in claims 1 to 9 for use in in-vitro diagnosis.

### Abstract of the invention

The present invention relates to the field of the use of automated measurement systems in analysis and in invitro diagnosis. In particular, the apparatus described enables automatic quality control and validation of characteristic process engineering parameters, in particular characteristic optical parameters, during the measurement of scattered light signals.

**Key for figures:**

**Fig. 1**

**10 Detection unit**

**11 Drive arrangement**

**Fig. 2**

**Detection unit**

**Fig. 3**

**Plan view**

**d Side view**

**{619735 v1}**

----- REVISION LIST -----

The bracketed numbers refer to the Page and Paragraph for the start of the paragraph in both the old and the new documents.

[1:1 1:1] Add Paras	"REFERENCE TO CROSS-RELATED ... the Invention "
[1:1 1:5] Del Para	"Nephelometric detection ... in-process control"
[1:2 1:5] Changed	"field of the use " to "filed "
[1:2 1:5] Changed	"systems in" to "systems for use in"
[1:2 1:5] Changed	"invitro " to "in-vitro "
[1:3 1:6] Add Para	"Description of the Related Art"
[1:5 1:9] Changed	"provided " to "possible "
[1:7 1:11] Changed	"On the one hand, the " to "The "
[1:7 1:11] Changed	"on the other hand" to "in addition"
[1:7 1:11] Changed	"On the other hand" to "However"
[1:8 1:12] Changed	"If, consequently, " to "Consequently, if "
[1:9 1:13] Changed	"On the other hand" to "Alternatively"
[1:11 1:15] Changed INVENTION"	"Therefore, ... measurement signal." to "SUMMARY OF THE INVENTION"
[1:12 1:16] Changed	"Consequently, " to "The object of "
[1:12 1:16] Changed	"was based ... of finding " to "is to find "
[1:17 1:21] Add Para	"BRIEF DESCRIPTION OF THE DRAWINGS"
[1:18 1:23] Changed	
[1:18 1:23] Changed	
[1:19 1:24] Changed	
[1:19 1:24] Changed	"with " to "for "
[1:19 1:24] Changed	
[1:20 1:25] Changed	"Fig. 3: " to "Figs. 3A-C ... alternative "
[1:20 1:25] Changed	"diaphragm," to "diaphragms ... invention;"
[1:21 1:26] Add Para	"Figs. 3D-3E are ... in Figs. 3A-3C;"
[1:21 1:27] Changed	"Fig. 4: " to "Fig. 4 is ... view of the "
[1:21 1:27] Changed	
[1:22 1:28] Changed	"Fig. 5: " to "Fig. 5 is a "
[1:23 1:29] Add Para	"DETAILED DESCRIPTION ... PREFERRED EMBODIMENT"
[1:24 1:31] Changed	"the invention" to "the present invention"
[1:25 1:32] Add Para	"Controlling the ... measurement signal."
[1:25 1:33] Changed	"at 16" to "at detector 16"
[1:26 1:34] Changed	"respectively " to "relatively "
[1:27 1:35] Changed	"Possible " to "Examples of possible "
[1:27 1:35] Changed	"by examples in Figure 3 a-c" to "in Figs. 3A-C"
[1:27 1:35] Changed	"Fig. 3 a-c " to "in Figs. 3A-C "
[1:29 1:37] Changed	"Figure 3 d-e shows " to "Figs. 3D and 3E show "
[1:29 1:37] Changed	"arrangement 15 and" to "arrangement 25 and"
[5:1 4:9] Del Para	"619735 v1"